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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |           |
|---|-----------------------------|-------------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/123,253                    |           |
|   | <b>Filing Date</b>          | July 27, 1998                 |           |
|   | <b>First Named Inventor</b> | T. W. Hutchens                |           |
|   | <b>Group Art Unit</b>       | 1743                          |           |
|   | <b>Examiner Name</b>        | L. Alexander                  |           |
| <b>Total Number of Pages in This Submission</b>   | 6                           | <b>Attorney Docket Number</b> | P00798US9 |

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <i>+ duplicate</i><br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)<br><br>Continued Prosecution Application (CPA) Request<br>Deposit Account 06-2375 in the amount of 1,660.00<br>Return Postcard |
| <b>Remarks</b>   |   | <b>RECEIVED</b><br>DEC 7 2001<br><b>TC 1700</b>   |
|  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| <b>Firm or Individual Name</b>             | FULBRIGHT & JAWORSKI L.L.P.<br>Melissa W. Acosta |
| <b>Signature</b>                           |  |
| <b>Date</b>                                | October 23, 2001                                 |

| Transmittal   |                            |
|---|----------------------------|
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270005US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below. |                            |
| Dated: October 23, 2001   | Signature:  (Staci Harris) |



PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1660.00

### Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | 09/123,253            |
| Filing Date          | July 27, 1998         |
| First Named Inventor | T. W. Hutchens, Ph.D. |
| Examiner Name        | L. Alexander          |
| Group Art Unit       | 1743                  |
| Attorney Docket No.  | HO-P00798US9          |

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

06-2375

Deposit  
Account  
Name

Fulbright & Jaworski L.L.P.

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

- ☐ Applicant claims small  
entity status. See  
37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     |          |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 0.00

#### 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

#### Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater, For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity        |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105                 | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127                 | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130      | 139          | 130      | Non-English specification  |          |
| 147                 | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                 | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116                 | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117                 | 920      | 217          | 460      | Extension for reply within third month                                     | 920.00   |
| 118                 | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128                 | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119                 | 320      | 219          | 160      | Notice of Appeal   |          |
| 120                 | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |          |
| 121                 | 280      | 221          | 140      | Request for oral hearing   |          |
| 138                 | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                 | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141                 | 1,280    | 241          | 640      | Petition to revive - unintentional   |          |
| 142                 | 1,280    | 242          | 640      | Utility issue fee (or reissue)   |          |
| 143                 | 460      | 243          | 230      | Design issue fee   |          |
| 144                 | 620      | 244          | 310      | Plant issue fee  |          |
| 122                 | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123                 | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126                 | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                 | 740      | 249          | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                 | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) | 131      |              |          | Basic Filing fee - Utility (CPA)   | 740.00   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1660.00

### SUBMITTED BY

Name (print/type) Melissa W. Acosta

Registration No.  
(Attorney/Agent)

45,872

### Complete (if applicable)

Telephone (713) 651-5407

Signature

Date

October 23, 2001

### Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET575270005US, in an envelope addressed to: Box CPA, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: October 23, 2001

Signature:

*Staci Harris* (Staci Harris)